

Part of #28

Form PTO-1449 (modified)

Atty. Docket No. 47171-00267USC1

Serial No. 09/541,170

List of Patents and Publications for Applicant

Applicant - Richard A. Mazur et al.

5TH INFORMATION DISCLOSURE STATEMENT

Filing Date: April 3, 2000

Group: 3652

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
MB	I21	EP 0487 316 A2	05/27/92	European	G07D	7/00	English

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MB	J24	Complaint Cummins-Allison Corp. v. Glory Ltd., Glory Shoji Co. Ltd., and Glory (U.S.A.), Inc., Civil Action No. 02C-7008, N.D. Ill.
	J25	Redacted Declaration of Hiroya Mouri (12 pages) (11/19/02)
	J26	Glory Model UF-1, Instruction Manual (30 pages) (date uncertain, prior to 11/20/02)(Japanese)[11/19/02 Mouri Ex. 1]
	J27	Glory Model UF-1, Translation of Instruction Manual – (29 pages) (date uncertain, prior to 11/20/02) (Glory's translation) [11/19/02 Mouri Ex. 1a]
	J28	Glory UF-1 brochure (2 page)(date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 2]
	J29	Glory GFB-30 brochure (2 page) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 3]
	J30	Glory GFB-30 operation manual (16 pages) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 6]
	J31	Glory GSA-500 Service Manual (119 pages) (5/89) [11/19/02 Mouri Ex. 5]
	J32	Glory GFU-200 operator manual (26 pages) (date unknown, first page marked 92-3-2) (Japanese) [11/19/02 Mouri Ex. 8]
	J33	Glory GFR-110 Instruction Manual – Currency Reader Counter (26 pages) (dated 8/23/99) [11/19/02 Mouri Ex. 10]
	J34	Glory GFR-S Series Currency Counters/Discriminators GFR-S60; GFR-S80; GFR-S80V (4 pages) (date © 2002) [11/19/02 Mouri Ex. 12]
	J35	Declaration of Sadaaki Uesaka (7 pages) (11/19/02)
	J36	Glory's Monthly Newsletter, June 1985 (38 pages) (Japanese) [11/19/02 Uesaka Ex. 2]
MB	J37	Glory's Monthly Newsletter, June 1985 – partial translation (4 pages) [11/19/02 Uesaka Ex. 3]

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EXAMINER: *[Signature]* DATE CONSIDERED: 5/31/03

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. 47171-00267USC1	Serial No. 09/541,170
List of Patents and Publications for Applicant's 5 TH INFORMATION DISCLOSURE STATEMENT	Applicant Richard A. Mazur et al.	
Page 2 of 5	Filing Date: April 3, 2000	Group: 3652

J38	Glory Money O.A. Catalog, June 1989 (44 pages) (Japanese) [11/19/02 Uesaka Ex. 5]
J39	Declaration of Akira Hoyo (5 pages) (11/15/02)
J40	Billcon D-202/204 Service Manual – Second Translation (Glory) (cover marked 630229) (25 pages) [11/15/02 Hoyo Ex. 2a]
J41	Billcon D-202/204 – Nikkin Newspaper ad, April 17, 1987 (2 pages) (Japanese) [11/15/02 Hoyo Ex. 3]
J42	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (English translation) [11/15/02 Hoyo Ex. 3a]
J43	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (Japanese) [11/15/02 Hoyo Ex. 5]
J44	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (English translation) [11/15/02 Hoyo Ex. 5a]
J45	Billcon D-202/204 videotape of Japanese television show entitled "Small and Worldwide Companies" (allegedly aired 1/10/88) (Japanese) [11/15/02 Hoyo Ex. 6]
J46	Declaration of Philip C. Dolsen (6 pages)(11/20/02)
J47	Dolsen, Philip C. Curriculum (4 pages) (10/29/02) [11/20/02 Dolsen Ex. 1]
J48	OKI Semiconductor data book, MSM80C85A-2RS/GS/JS – 8 Bit CMOS Microprocessor (10 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3A]
J49	OKI Semiconductor data book, MSM80C88A-2RS/GS/JS – 8 Bit CMOS Microprocessor (27 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3B]
J50	Intel 80286, Intel data sheet, High Performance Microprocessor with Memory Management and Protection (28 pages; pages 3-1 to 3-55) (alleged 1988) [11/20/02 Dolsen Ex. 4]
J51	NEC uPD780C-1 Microprocessor, NEC data book (23 pages; pages 4-3 to 4-25) (alleged 1987) [11/20/02 Dolsen Ex. 5]
J52	NEC PD70216 processor, NEC data book (34 pages; pages 3-161 to 3-227) (alleged 1987) [11/20/02 Dolsen Ex. 6]
J53	Dolsen claim chart for claims 40-43, 46-48, 76-78, 81, 101, 105, 108, 110-111 U.S. Pat. No. 6,459,806 [11/20/02 Dolsen Ex. 7]
J54	Glory GFR-S80V Operation Keys, Pages 1-11 (5/17/02) English (GL000106-116)
J55	Glory Catalog pages 4-11 listing various Glory machines including GFU-100, GFF-8CF, GFF-8, GFB-500/520, GFF-8E, and GSA-500 and maintenance policy and fees, English (GL001916-1923) (date uncertain, last page dated 8/15/90)
J56	De La Rue 3000 Series Used banknote sorting machines, 10 legal size pages, English (GL001924-33) (date uncertain, prior to 11/4/02)
J57	De La Rue 3400/3500 Series High Speed Currency Sorting Systems brochure, 4 pages, English (GL001934-37)(© 1989)
J58	The New Billcon K-300 Series brochure, 2 pages, English (GL002389-90) (12-99)
J59	The New Billcon N-Series Compact Note Counter brochure, 2 pages, English (GL002391-92) (© 2000)

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J60	The New Billcon K-300 Series Brochure, 2 pages, (© 1999) English (GL002396-97)
J61	Billcon D-202/204 brochure, 2 pages, Japanese (date uncertain, prior to 11/7/02) (GL002398-99)
J62	De La Rue Teller Cash Dispatch™ Applications brochure, 8 pages, (© 1999) English (GL002475-2482)
J63	De La Rue Cash Systems, Coin Processing Banknote Counting brochure, 4 legal pages, (date uncertain, prior to 11/7/02) English (GL002485-88)
J64	De La Rue Cash Systems, 2650 Currency Counting Machine brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002489-90)
J65	De La Rue Cash Systems, The Euro Range for Note and Coin Handling brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002491-94)
J66	Currency Systems International Cobra™ Banknote Sorter brochure, 3 pages (middle page legal), (© 2001) English (GL002495-97)
J67	De La Rue Cash Systems Cobra™ 4004 Banknote Sorter brochure, 2 legal pages, (© 2001) English (GL002498-99)
J68	De La Rue Cash Systems TCR Twin Safe™ Teller Cash Recycler, 2 pages, (© 2001) English (GL002500-01)
J69	De La Rue's WestLB Pamphure Marketing Pamphlet regarding Cash Systems Division, 16 pages, (4/29/02) English (GL002502-17)
J70	De La Rue Cash Systems 2800 VB Value Balancing currency counter brochure, 2 pages (9/99) English (GL002518-19)
J71	De La Rue Cash Systems 8672 Máquina contadora de billetes brochure, 2 pages (date uncertain, handwritten date 11/99) (Spanish) (GL002520-21)
J72	Sprintquip Quicksort™ 2800 brochure, 2 pages, (hand dated 9/99) English (GL002523-24)
J73	Brandt® Model 8643 Currency/Document Counter brochure, 2 pages, (© 1995) English (GL002527-28)
J74	De La Rue Cash Systems 2700VB Currency Counting Machine brochure, 2 pages (hand dated 9/99) English (GL002529-30)
J75	De La Rue Cash Systems Brandt 8625 Currency Counting Machine, 2 pages (hand dated 11/99) English (GL002531-32)
J76	De La Rue Cash Systems Branch Cash Automation Applications (Powerpoint), 28 pages, (date uncertain, prior to 11/7/02) English (GL002539-66)
J77	De La Rue Commercial Self Service (Powerpoint), 24 pages, (date uncertain, prior to 11/7/02) English (GL002567-90)
J78	De La Rue Automated Depositories (Powerpoint), 2 pages, (date uncertain, prior to 11/7/02) English (GL002591-92)
J79	De La Rue Systems 2800VB Value Balancing Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002593-94)
J80	De La Rue Systems 2700VB Specification page of brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002595)
J81	Magner® 15 Desktop banknote counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002596-97)
J82	MAG II Model 20 Currency Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002605-06)

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J83	Banc Equip Magner Products Product Price List, 2 pages, (date uncertain, prior to 11/7/02) English (GL002613-14)
J84	Magner Model 35 Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002625-26)
J85	Magner 75 Series Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002627-28)
J86	G&D BPS 200 Desktop Banknote Processing System brochure, 10 pages, (date uncertain, prior to 11/7/02) English (GL002629-38)
J87	G&D BPS 200 Desktop Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002643-44)
J88	G&D One Size Does Not Fit All! Brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002645)
J89	G&D BPS 500 Banknote Processing System brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002646-49)
J90	G&D Numeron webpage picture of sorting machine and Design Award for Numeron, 2 page, (3/29/02) (GL002650-51)
J91	G&D BPS 200 Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002652-53)
J92	G&D BPS 500 Banknote Processing System brochure, 1 page (hand dated 9/99) English (GL002654)
J93	G&D Company Magazine, 36 pages, (3/98) English (GL002655-90)
J94	G&D Cards and Card Systems brochure, 15 pages, (© 1998) English (GL002691-2705)
J95	G&D Portrait of a Company Group brochure, 19 pages, (© 1997?) English (GL002706-24)
J96	Mosler TouchSort™ Plus Currency Processing System brochure, 2 pages (© 1999) English (GL002727-28)
J97	Mosler Satellite Branch Facilities – Riddell National Bank, Brazil, Indiana brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002729)
J98	Mosler TouchSort™ Currency Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002730-31)
J99	Mosler marketing brochure, 4 pages, (© 1999) English, (GL002732-35)
J100	Glory UC-10-10A Brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002777-78)
J101	Glory UC-10A pamphlet, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002779)
J102	Glory pamphlet of various machines, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002780)
J103	Glory UF-1 brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002781-82)
J104	Glory GFU-200 Desk-top Currency Fitness Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002839-40)
J105	Glory GFR-100 Currency Reader Counter brochure, 4 pages, (© 1995, English (GL002860-63)
J106	Glory GFR-100 Currency Reader Counter Instruction Manual, 31 pages (1/8/96) English (GL002864-94)

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JS	J107	Glory Tank Currency Discriminators GFR-110 & GFR-S80 brochure, 2 pages, (© 2000) English (GL002959-60)
	J108	Glory Currency Reader Counter GFR-S80, S60 Instruction Manual, 33 pages (11/1/00) English (GL002961-93)
	J109	Glory UW-100 Compact Currency Fitness Sorter brochure, 2 pages (© 1999) English (GL003027-28)
	J110	Glory Currency Fitness Sorter UW-100 Instruction Manual, 38 pages (2/19/02) English (GL003029-66)
	J111	Glory UW-200 Multi-Purpose Company Currency Sorter brochure, 2 legal pages (© 1999) English (GL003067)
	J112	Glory Currency Sorter UW-200 With Fitness sorting mode (FIT) Instruction Manual, 44 pages (10/23/01) English (GL003068-111)
	J113	Billcon D-202-204 brochures, 6 pages, (date uncertain, prior to 11/7/02) Japanese (GI003112-17)
	J114	Billcon® R-900 E-DS Note Counter with Dual Speed and Denomination Sorting Function brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL003167-68)
	J115	Billcon R-900DS Currency Counter Operating Manual, 6 pages, (date uncertain, prior to 11/7/02) English (GL003169-74)
JS	J116	Billcon R-900 Currency Counter Service Manual (601221), 31 pages, (date uncertain, prior to 11/7/02) English (GL003175-3205)

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